

Tangent and asymptotic cones of geodesics

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Question

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Are geodesics smooth? Are they even differentiable?

A priori geodesics are Lipschitz, so at least they are differentiable almost everywhere. Beyond this, little is known in the general case.

Introduction

We approach the differentiability problem from a metric geometry viewpoint through the infinitesimal geometry of a sub-Riemannian manifold.

Theorem (Mitchell 1985)

The metric tangent of an equiregular sub-Riemannian manifold is a sub-Riemannian Carnot group.

Theorem (Bellaïche 1996)

The metric tangent of any sub-Riemannian manifold is a sub-Riemannian homogeneous space, that is, a quotient of a sub-Riemannian Carnot group.

Introduction

Even within a Carnot group G the metric viewpoint to differentiability is still useful.

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Due to the self-similarity of G by dilations, the infinitesimal geometry is given by the Carnot group G itself, which lends itself to a nice metric characterization of differentiability:

Lemma

A curve $\gamma : I \rightarrow G$ is differentiable at $t \in I$ if and only if the tangent cone of γ at t consists of a single line.

Tangent cones

Tangent cones

Let $\gamma : I \rightarrow G$ be a curve. To define the tangent cone of γ at t_0 , we study dilated copies of the curve centered at $\gamma(t_0)$.

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For any dilation factor $h > 0$, define $I_h = \frac{1}{h}(I - t_0)$ and

$$\gamma_h : I_h \rightarrow G, \quad \gamma_h(t) = \delta_{\frac{1}{h}} (\gamma(t_0)^{-1} \gamma(t_0 + ht)).$$

This is simply the non-abelian version of the difference quotient used in the definition of derivatives.

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If the limit $\lim_{h \rightarrow 0} \gamma_h(1)$ exists, it is the Pansu-derivative of γ at t_0 , and in particular the curve is differentiable at t_0 .

Tangent cones

In general, there is no need for $\lim_{h \rightarrow 0} \gamma_h$ to exist.

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If γ is L -Lipschitz, then so is γ_h . Thus $\{\gamma_h : h > 0\}$ is a family of L -Lipschitz curves in G with $\gamma_h(0) = e$ for all $h > 0$.

Ascoli-Arzelá \implies for every sequence $h_j \rightarrow 0$ there is a subsequence h_{j_k} and a curve $\sigma : \mathbb{R} \rightarrow G$ such that $\gamma_{h_{j_k}} \rightarrow \sigma$ uniformly on compact sets of \mathbb{R} .

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The tangent cone of γ at t_0 is the collection of all such curves:

$$\text{Tang}(\gamma, t_0) = \{\sigma \mid \exists h_j \rightarrow 0 : \gamma_{h_j} \rightarrow \sigma\}.$$

Tangent cones

A simple result of metric geometry is:

Lemma

Let $\gamma : I \rightarrow G$ be a geodesic and $t \in I$. Then every $\sigma \in \text{Tang}(\gamma, t)$ is also a geodesic in G .

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Let $\gamma : I \rightarrow G$ be a geodesic and $t \in I$. Then every $\sigma \in \text{Tang}(\gamma, t)$ is also a geodesic in G .

However, using the properties of Carnot groups we are able to prove something less trivial:

Theorem (H. – Le Donne)

Let $\gamma : I \rightarrow G$ be a geodesic and $t \in I$. Then for every $\sigma \in \text{Tang}(\gamma, t)$, the curve $\pi_s \circ \sigma$ is a geodesic in the Carnot group $G/\exp(V_s)$ of one step lower.

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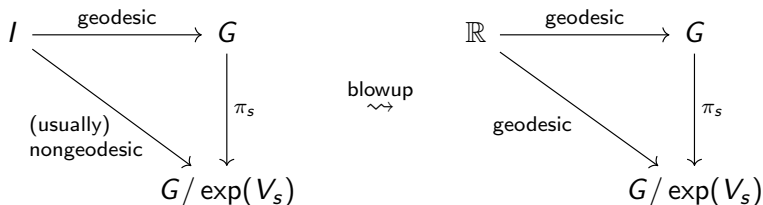
Here $V_1 \oplus \cdots \oplus V_s = \mathfrak{g}$ is the stratification of the Lie algebra of G and $\pi_s : G \rightarrow G/\exp(V_s)$ is the quotient projection.

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Tangent cones

Another useful simple result of metric geometry is that tangents of tangents are tangents:

Lemma

$\text{Tang}(\text{Tang}(\gamma, t), 0) \subset \text{Tang}(\gamma, t)$.

The proof of this lemma is a diagonal argument using the continuity of dilations and the homomorphism property

$$\delta_\lambda \circ \delta_\eta = \delta_{\lambda\eta}.$$

Tangent cones

Iterate the previous theorem:

γ

geodesic in
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$$\gamma \xrightarrow{\text{blowup}} \begin{array}{c} \sigma_1 \\ \cap \\ \text{Tang}(\gamma, t) \end{array}$$

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Iterate the previous theorem:

$$\begin{array}{ccccc} \gamma & \overset{\text{blowup}}{\rightsquigarrow} & \sigma_1 & \overset{\text{blowup}}{\rightsquigarrow} & \sigma_2 \\ & & \cap & & \cap \\ & & \text{Tang}(\gamma, t) & \supset & \text{Tang}(\sigma_1, 0) \\ \text{geodesic in} & & \text{geodesic in} & & \text{geodesic in} \\ G & & G/\exp(V_s) & & G/\exp(V_{s-1} \oplus V_s) \end{array}$$

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 \gamma & \overset{\text{blowup}}{\rightsquigarrow} & \sigma_1 & \overset{\text{blowup}}{\rightsquigarrow} & \sigma_2 & \overset{\text{blowup}}{\rightsquigarrow} & \dots \overset{\text{blowup}}{\rightsquigarrow} \sigma_{s-1} \\
 & & \cap & & \cap & & \cap \\
 & & \text{Tang}(\gamma, t) & \supset & \text{Tang}(\sigma_1, 0) & \supset & \dots \supset \text{Tang}(\sigma_{s-2}, 0) \\
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 G & & G/\exp(V_s) & & G/\exp(V_{s-1} \oplus V_s) & & G/[G, G]
 \end{array}$$

\implies When G is a step s Carnot group, any $s - 1$ times iterated tangent of a geodesic is also geodesic in the horizontal space $G/[G, G]$.

Tangent cones

When G is sub-Riemannian, $G/[G, G]$ is an inner product space. The only geodesics in an inner product space are lines, so we get another proof of

Theorem (Monti–Pigati–Vittone 2017)

If $\gamma : I \rightarrow M$ is a geodesic in a sub-Riemannian manifold, then for every $t \in I$ there exists a line in $\text{Tang}(\gamma, t)$.

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Hence we apply our techniques also to the study of the large scale behavior of geodesics through their asymptotic cones:

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$$\begin{aligned}\text{Asymp}(\gamma) &= \{ \sigma \mid \exists h_j \rightarrow \infty : \gamma_{h_j} \rightarrow \sigma \} \\ \text{Tang}(\gamma, t_0) &= \{ \sigma \mid \exists h_j \rightarrow 0 : \gamma_{h_j} \rightarrow \sigma \}\end{aligned}$$

Asymptotic cones

Theorem (H. – Le Donne)

If $\gamma : \mathbb{R} \rightarrow G$ is a geodesic, then

(i) $\pi \circ \gamma : \mathbb{R} \rightarrow G/[G, G]$ is a geodesic,

or

(ii) \exists a hyperplane $W \subset G/[G, G]$ and $\exists R > 0$ such that $\pi \circ \gamma(\mathbb{R}) \subset B_{G/[G, G]}(W, R)$.

Asymptotic cones

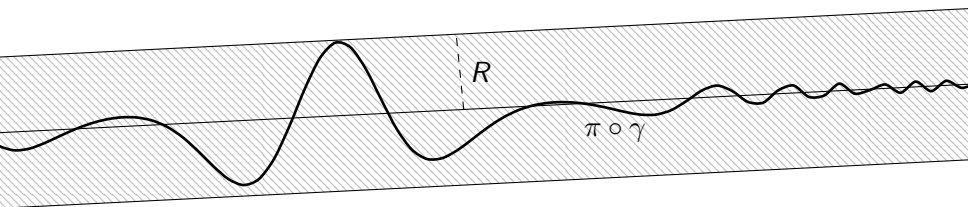
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Asymptotic cones

Corollary

If G is sub-Riemannian, then for every geodesic $\gamma : \mathbb{R} \rightarrow G$ there exists a Carnot subgroup $H < G$ of lower rank such that

$$\sigma \in \text{Asymp}(\gamma) \implies \sigma(\mathbb{R}) \subset H.$$

The subgroup H is the Carnot group generated by the horizontal hyperplane W .

Asymptotic cones

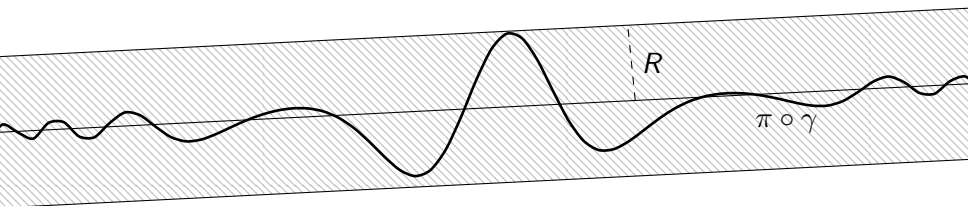
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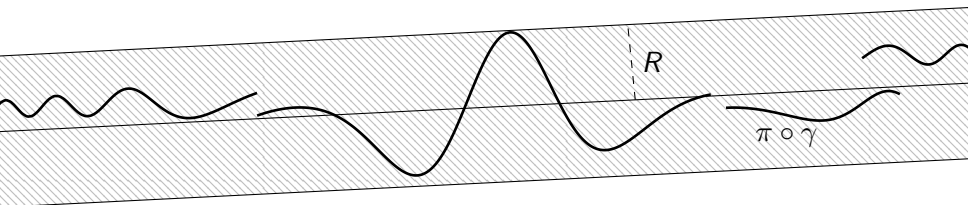
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If $\gamma : \mathbb{R} \rightarrow G$ is a **(1, C)-quasi-geodesic**, then

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The core ideas of the proofs

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It is in principle simple to show that a curve is not a geodesic: find a shorter curve with the same endpoints.

Hence to prove properties of geodesics:

- (1) Assume some property does *not* hold for an arbitrary curve.
- (2) Use the assumption to construct a *shorter* curve with the same endpoints.

The core ideas of the proofs

The *cut & correct* strategy to shortening a curve:

- (2a) The cut: replace some curve segment $\gamma|_{[a,b]}$ with the lift of a geodesic from $G/\exp(V_S)$, shortening γ by some $\epsilon > 0$, but changing its endpoint.
- (2b) The correction: perturb the curve so that
 - (i) the endpoint is reverted to the original endpoint, and
 - (ii) length is increased by no more than ϵ .

The cut

From the algebraic viewpoint, lifting a geodesic can be rewritten as a two point lifting property:

Proposition

For any $g \in G$ there exists $h \in \exp(V_s)$ such that

$$d_{G/\exp(V_s)}(e, \pi_s g) = d_G(e, hg).$$

After replacing $\gamma|_{[a,b]}$ with a geodesic segment from $G/\exp(V_s)$, either

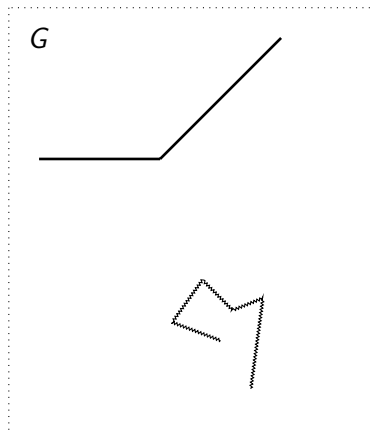
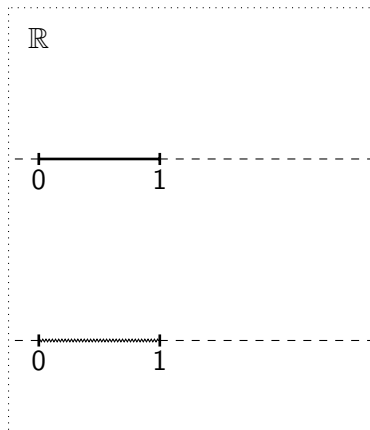
- (i) we decrease length by $\epsilon > 0$, but the endpoint is left-translated by some $h \in \exp(V_s)$, or
- (ii) $\pi_s \circ \gamma|_{[a,b]}$ was itself a geodesic, and the endpoint does not change.

The correction

Perturb the curve via the insertion of correcting curves.

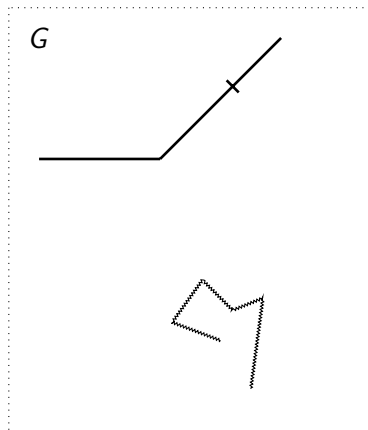
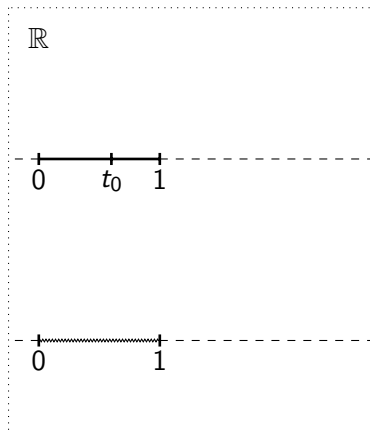
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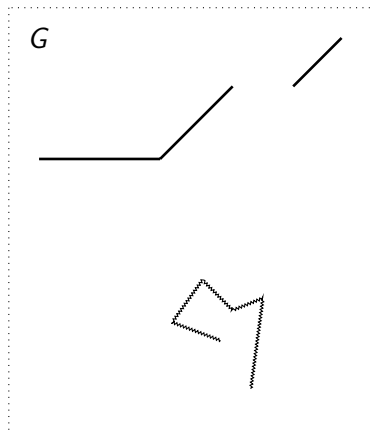
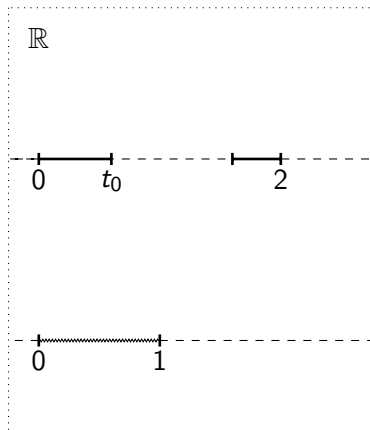
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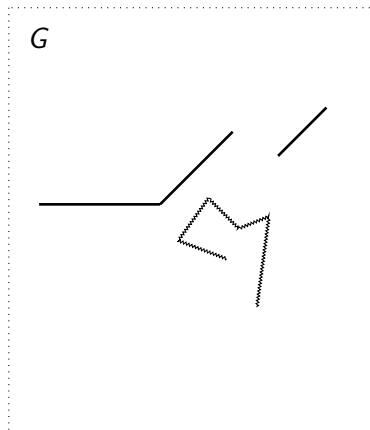
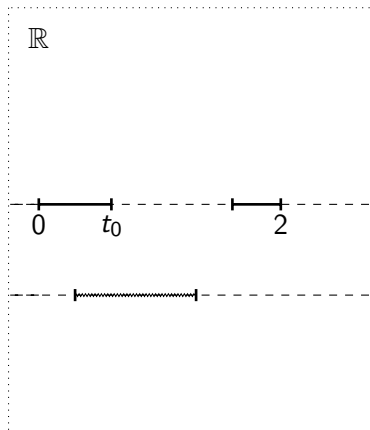
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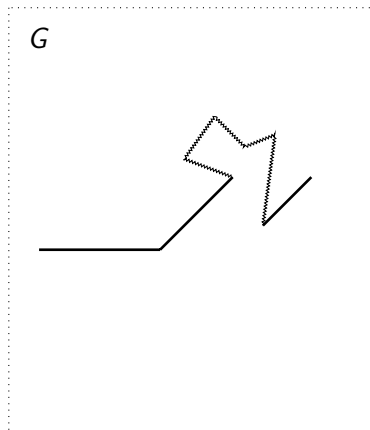
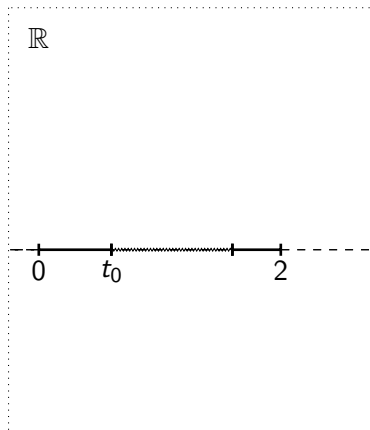
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The correction

Algebraically, inserting a curve $\alpha : [0, 1] \rightarrow G$ at a point $g = \gamma(t)$ will left-translate the endpoint of the curve by

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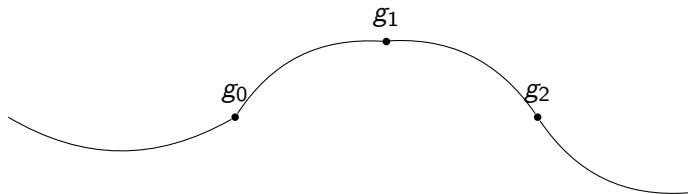
$$g \cdot \alpha(0)^{-1} \cdot \alpha(1) \cdot g^{-1}.$$

Idea: the insertion can change the endpoint by much more than the addition of length when $g = \gamma(t)$ is far from the identity.

The correction

More explicitly, the correction we use is as follows. Denote by r the rank of G .

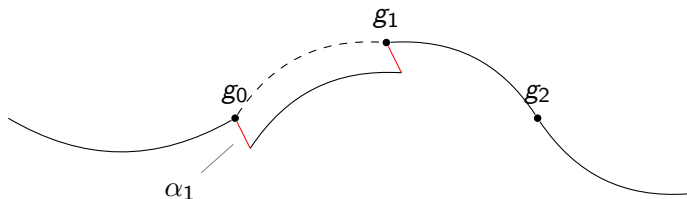
- (1) Choose $r + 1$ points g_0, \dots, g_r along the curve γ .
- (2) For each curve segment g_{k-1} to g_k , insert α_k at g_{k-1} , and insert the reverse α_k^{-1} at g_k .



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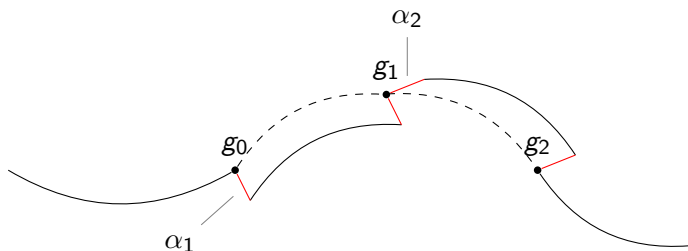
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The correction

A back-and-forth perturbation is a group commutator:

$$a\alpha a^{-1} \cdot b\alpha^{-1}b = a[\alpha, a^{-1}b]a^{-1}.$$

\implies Perturbation in the layer $s - 1$ correct an error in layer s .

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Linear algebra \implies our error correction method is reduced to solving

$$L(\alpha_1, \dots, \alpha_r) = \log h,$$

where $L : (V_{s-1})^r \rightarrow V_s$ is a linear map depending on the points g_0, \dots, g_r .

Estimating the correction

Corrections in layer $s - 1$

$\implies L$ only depends on the horizontal projections $\pi(g_0), \dots, \pi(g_r)$

$\implies L(\alpha_1, \dots, \alpha_r) = \log h$ has a simple geometric description:

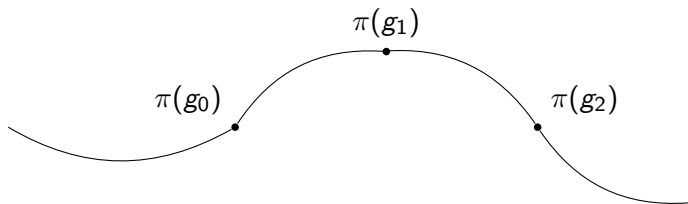
$$\|\alpha_k\| \lesssim \frac{\|\log h\|}{F(g_0, \dots, g_r)}.$$

where $F(g_0, \dots, g_r)$ is the smallest height of the parallelotope with sides

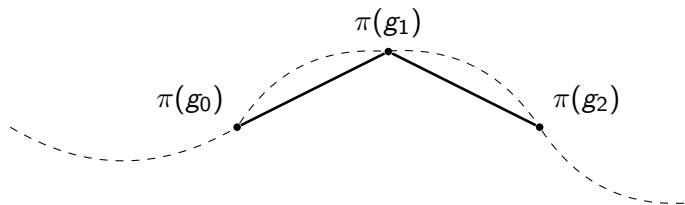
$$x_k = \pi(g_k) - \pi(g_{k-1}) \in G/[G, G], \quad k = 1, \dots, r$$

in the normed space $G/[G, G] \simeq (\mathbb{R}^r, \|\cdot\|)$.

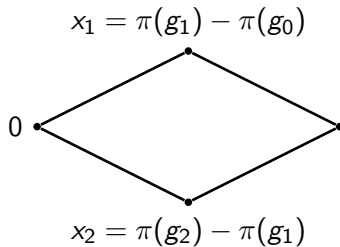
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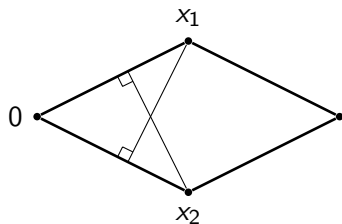
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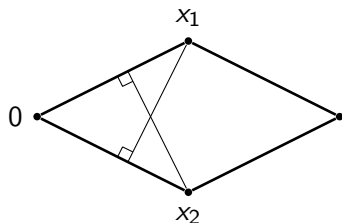


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$$F(g_0, g_1, g_2) = \min\{d(x_1, \text{span } x_2), d(x_2, \text{span } x_1)\}.$$

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$$F(g_0, g_1, g_2) = \min\{d(x_1, \text{span } x_2), d(x_2, \text{span } x_1)\}.$$

\implies the size of parallelotopes in the horizontal projection determines how large errors can be corrected.

Tangent cones

If γ has any non-degenerate parallelotope of size

$F(g_0, \dots, g_r) \geq R$, then γ_h has a parallelotope of size $\geq \frac{R}{h}$.

$R/h \rightarrow \infty$ as $h \rightarrow 0 \implies$ any cut of a tangent in $G/\exp(V_s)$ cannot gain *any* length.

Asymptotic cones

If γ is not a geodesic in $G/\exp(V_s)$, it must contain only parallelotopes of bounded size $F(g_0, \dots, g_r) \leq M$.

By a Euclidean compactness argument, any set in \mathbb{R}^r which contains only parallelotopes of size $\leq R$, is contained in a R -neighborhood of a hyperplane.

Thanks for your attention!