

The endpoint map

Fix a base point $p \in M$.

Definition (Endpoint map)

The *endpoint map* is the map

$$\text{End}: L^2([0, 1]; \mathbb{R}^r) \rightarrow M, \quad u \mapsto \gamma_u(1),$$

where $\gamma_u: [0, 1] \rightarrow M$ is the curve

$$\begin{aligned} \dot{\gamma}_u(t) &= \sum u_i(t) X_i(\gamma_u(t)) \\ \gamma_u(0) &= p \end{aligned}$$

Assumptions \implies endpoint map well defined and surjective.

The endpoint map

Abnormal \leftrightarrow critical points and values of the endpoint map.

Abnormal control = critical point $u \in L^2$ of the endpoint map

Abnormal curve = integral curve γ_u of an abnormal control u

Abnormal set = the set of critical values of the endpoint map

The endpoint map

Abnormal \leftrightarrow critical points and values of the endpoint map.

Abnormal control = critical point $u \in L^2$ of the endpoint map

Abnormal curve = integral curve γ_u of an abnormal control u

Abnormal set = the set of critical values of the endpoint map
= the subset of M that can be reached from the basepoint with an abnormal curve.

Open problems

Conjecture (Sard)

The abnormal set has zero measure.

Conjecture (Regularity)

All length-minimizing curves are smooth.

The two types of length-minimizing curves.

- 1 normal: satisfy a geodesic equation \implies are smooth
- 2 abnormal: unknown regularity

Some regularity results

- Strichartz 1986: C^∞ -regularity for strongly bracket generating structures
- H. and Le Donne 2016: geodesics do not have corner-type singularities
- Monti, Pigati, and Vittone 2018: existence of tangent lines
- Belotto da Silva, Figalli, Parusiński, and Rifford 2018: C^1 -regularity for 3-dimensional analytic sub-Riemannian manifolds
- Barilari, Chitour, Jean, Prandi, and Sigalotti 2020: C^1 -regularity for rank 2 step 4 sub-Riemannian structures

Carnot groups

- a Carnot group G : a nilpotent Lie group whose Lie algebra is stratified

$$\mathfrak{g} = \mathfrak{g}^{[1]} \oplus \mathfrak{g}^{[2]} \oplus \dots \oplus \mathfrak{g}^{[s]}, \quad [\mathfrak{g}^{[1]}, \mathfrak{g}^{[i]}] = \mathfrak{g}^{[i+1]}$$

- The basepoint p is the identity element e .
- Δ is the left-invariant distribution with $\Delta_e = \mathfrak{g}^{[1]}$.
- The orthonormal frame X_1, \dots, X_r is left-invariant.

Gromov-Hausdorff convergence

- (Z, d) a metric space
- $X_1, X_2 \subset Z$

Definition (Hausdorff distance)

$$d_H(X_1, X_2) = \inf\{r > 0 : X_1 \subset B(X_2, r) \text{ and } X_2 \subset B(X_1, r)\}$$

- $(X_1, d_1), (X_2, d_2)$ metric spaces
- (Z, d) metric space such that $(X_1, d_1) \hookrightarrow (Z, d)$ and $(X_2, d_2) \hookrightarrow (Z, d)$ isometrically.

Definition (Gromov-Hausdorff distance)

$$d_{GH}(X_1, X_2) = \inf_Z d_H(X_1, X_2)$$

Gromov-Hausdorff convergence

- (X_k, x_k, d_k) , $k \in \mathbb{N}$, pointed metric spaces

Definition (Pointed Gromov-Hausdorff convergence)

$(X_k, x_k, d_k) \xrightarrow{GH} (Y, y, d_Y)$ if $\forall r \quad \forall \epsilon \quad \exists k_0 \quad \forall k > k_0$

\exists Gromov-Hausdorff approximation $f: B(x_k, r) \subset X_k \rightarrow Y$ with

- f distorts distance by at most ϵ
- f preserves the basepoint
- f is ϵ -almost surjective onto the r ball

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 \exists Gromov-Hausdorff approximation $f: B(x_k, r) \subset X_k \rightarrow Y$ with

- $|d(f(x), f(z)) - d(x, z)| < \epsilon$
- $f(x_k) = y$
- $B(y, r - \epsilon) \subset B(f(B(x_k, r)), \epsilon)$

Gromov-Hausdorff convergence

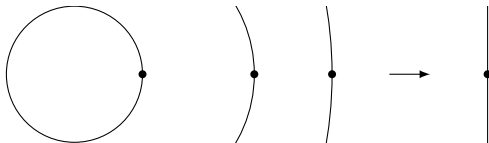
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Example: $(S^1(0, r), (r, 0)) \xrightarrow{GH} (\mathbb{R}, 0)$ as $r \rightarrow \infty$.



Metric tangents

Definition

(Y, y, d_Y) is a metric tangent to (X, d_X) at $x \in X$ if
 $(X, x, \lambda d_X) \xrightarrow{GH} (Y, y, d_Y)$ as $\lambda \rightarrow \infty$.

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Theorem (Mitchell 1985)

The metric tangent of an equiregular sub-Riemannian manifold is a sub-Riemannian Carnot group.

Theorem (Bellaïche 1996)

The metric tangent of any sub-Riemannian manifold is a sub-Riemannian homogeneous space (=a quotient of a sub-Riemannian Carnot group).

Metric tangents to geodesics

- (M, d) a sub-Riemannian manifold
- $p \in M$ a basepoint
- $\gamma: (-1, 1) \rightarrow M$ a geodesic through $\gamma(0) = p$
- $(M, p, \lambda d) \xrightarrow{GH} (G, e, d_G)$ as $\lambda \rightarrow \infty$
- $f_{\lambda, r, \epsilon}: B(p, r/\lambda) \rightarrow G$ Gromov-Hausdorff approximations

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 $= B_{\lambda d}(p, r)$

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Definition

$$\text{Tan}(\gamma, 0) = \{ \sigma : (\gamma, \gamma(0), \lambda_k d) \xrightarrow{GH} (\sigma, \sigma(0), d_G), \lambda_k \rightarrow \infty \}$$

Metric tangents to geodesics

Immediate consequences:

Lemma

γ geodesic \implies every $\sigma \in \text{Tan}(\gamma, 0)$ is a geodesic

Lemma

$\text{Tan}(\text{Tan}(\gamma, t), 0) \subset \text{Tan}(\gamma, t)$.

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Proof: $f_{\lambda, r, \epsilon}$ are ϵ -quasi-isometries.

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Proof: a diagonal argument.

Metric tangents in Carnot groups

- M sub-Riemannian manifold
- $(M, p, \lambda d) \xrightarrow{GH} (G, e, d_G)$
- $\gamma: (-1, 1) \rightarrow M, \gamma(0) = p$
- $\sigma: \mathbb{R} \rightarrow G, \sigma(0) = e$

Gromov-Hausdorff convergence $(\gamma, \gamma(0), \lambda d) \xrightarrow{GH} (\sigma, \sigma(0), d_G)$

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- G sub-Riemannian Carnot group
- (G, e, d) and $(G, e, \lambda d)$ are isometric by dilation $\delta_\lambda: G \rightarrow G$
 $\implies (G, e, \lambda d) \xrightarrow{GH} (G, e, d)$

$\gamma_\lambda \rightarrow \sigma$ uniformly on compact sets, where

$$\gamma_\lambda: (-\lambda, \lambda) \rightarrow G, \quad \gamma_\lambda(t) = \delta_\lambda(\gamma(t/\lambda)).$$

Metric tangents to geodesics

- $\mathfrak{g} = \mathfrak{g}^{[1]} \oplus \mathfrak{g}^{[2]} \oplus \dots \oplus \mathfrak{g}^{[s]}$, $[\mathfrak{g}^{[1]}, \mathfrak{g}^{[i]}] = \mathfrak{g}^{[i+1]}$
- $G = \exp(\mathfrak{g})$ a Carnot group
- $\pi_s: G \rightarrow G / \exp(\mathfrak{g}^{[s]})$ the quotient projection down one step

Theorem (H. and Le Donne 2018)

$\gamma: (-1, 1) \rightarrow G$ geodesic and $\sigma \in \text{Tan}(\gamma, 0)$.

Then $\pi_s \circ \sigma: \mathbb{R} \rightarrow G / \exp(V_s)$ is also a geodesic.

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Corollary

$\gamma: (-1, 1) \rightarrow G$ geodesic and

$\sigma \in \text{Tan}^s(\gamma, 0) = \text{Tan}(\text{Tan}(\dots \text{Tan}(\gamma, 0), \dots, 0), 0)$.

Then $\pi \circ \sigma: \mathbb{R} \rightarrow \mathbb{R}^{\dim \mathfrak{g}^{[1]}}$ is a geodesic.

That is, $\sigma(t) = \exp(tX)$ for some $X \in \mathfrak{g}^{[1]}$.

Large scale behaviour of geodesics

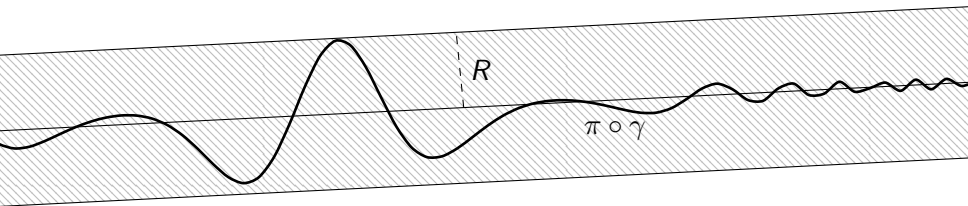
- G a Carnot group
- $r = \dim \mathfrak{g}^{[1]}$
- $\pi: G \rightarrow \mathbb{R}^r$ the horizontal projection

Theorem (H. and Le Donne 2018)

$\sigma: \mathbb{R} \rightarrow G$ a geodesic.

\exists a hyperplane $W \subset \mathbb{R}^r$ and $\exists R > 0$ such that

$\pi \circ \gamma(\mathbb{R}) \subset B(W, R)$.



The cut & correct method

A non-minimality proof strategy (Leonardi and Monti 2008):

- 1 The cut: replace $\sigma|_{[a,b]}$ with the lift of a geodesic from a lower step Carnot group
- 2 The correction: perturb the curve so that
 - the endpoint is reverted to the original endpoint, and
 - length remains smaller than the original curve's

The cut & correct method – discretization

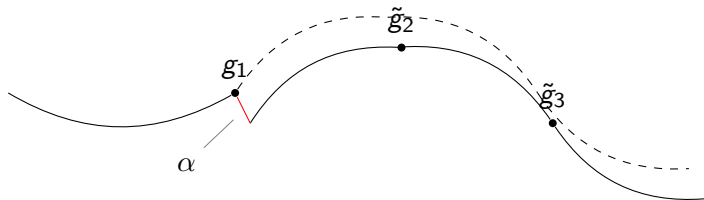
- Choose points g_1, \dots, g_m along the geodesic σ
- Write the endpoint of σ as

$$\begin{aligned}\sigma(1) &= \sigma(0) \cdot \sigma(0)^{-1} \cdot g_1 \cdot g_1^{-1} \cdot g_2 \cdots g_{m-1}^{-1} \cdot g_m \cdot g_m^{-1} \cdot \sigma(1) \\ &= \sigma(0) \cdot (\sigma(0)^{-1} \cdot g_1) \cdot (g_1^{-1} \cdot g_2) \cdots (g_{m-1}^{-1} \cdot g_m) \cdot (g_m^{-1} \cdot \sigma(1))\end{aligned}$$

- Easy to insert a perturbation curve $\alpha: [0, 1] \rightarrow G$:

$$\tilde{\sigma}(1) = \sigma(0) \cdot (\sigma(0)^{-1} \cdot g_1) \cdot (\alpha(0)^{-1} \cdot \alpha(1)) \cdot (g_1^{-1} \cdot g_2) \cdots$$

- Perturbed points: $\tilde{g}_k = g_1 \cdot \alpha(0)^{-1} \cdot \alpha(1) \cdot g_1^{-1} \cdot g_k$



The cut & correct method – the cut

Lifting a geodesic from a lower step group in the discretization:

Lemma

$\forall g \in G \quad \exists h \in \exp(\mathfrak{g}^{[s]}) :$

$$d_{G/\exp(\mathfrak{g}^{[s]})}(e, \pi_s(g)) = d_G(e, hg).$$

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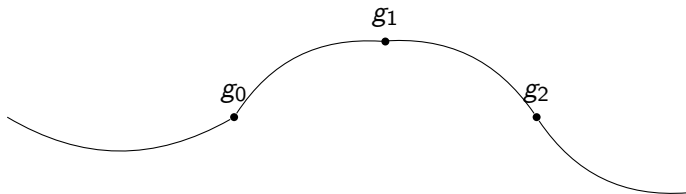
$$d_{G/\exp(\mathfrak{g}^{[s]})}(e, \pi_s(g)) = d_G(e, hg).$$

After replacing $\sigma|_{[a,b]}$ with a geodesic segment from $G/\exp(\mathfrak{g}^{[s]})$, either

- 1 length decreases and the endpoint is translated by $h \in \exp(\mathfrak{g}^{[s]})$, or
- 2 length does not change, so $\pi_s \circ \sigma|_{[a,b]}$ was already a geodesic

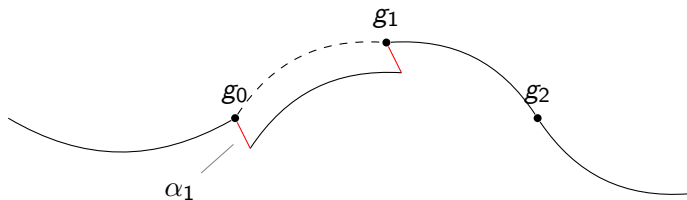
The cut & correct method – the correction

- 1 Choose $r + 1$ points g_0, \dots, g_r along the curve γ .
- 2 For each curve segment g_{k-1} to g_k , insert α_k at g_{k-1} , and insert the reverse α_k^{-1} at g_k .



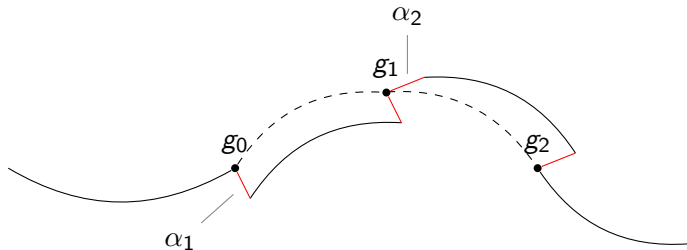
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The cut & correct method – the correction

A back-and-forth perturbation is a group commutator:

$$a\alpha a^{-1} \cdot b\alpha^{-1}b = a[\alpha, a^{-1}b]a^{-1}.$$

⇒ Perturbation in the layer $s - 1$ corrects an error in layer s .

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Need to solve

$$L(\alpha_1, \dots, \alpha_r) = \log h \in \mathfrak{g}^{[s]},$$

where $L : (\mathfrak{g}^{[s-1]})^r \rightarrow \mathfrak{g}^{[s]}$ is linear.

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Key ingredients:

- bracket-generating $\implies L$ is surjective
- norm of the right-inverse of L is controlled by the horizontal projection of g_0, \dots, g_r

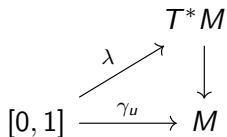
Characterization of abnormal curves

Recall

- G a Carnot group of rank r
- X_1, \dots, X_r orthonormal left-invariant frame
- $u \in [0, 1] \rightarrow \mathbb{R}^r$, $\gamma_u: [0, 1] \rightarrow G$
$$\dot{\gamma}_u(t) = \sum u_i(t) X_i(\gamma_u(t))$$

$$\gamma_u(0) = p$$
- γ_u abnormal $\iff u$ critical point of $u \mapsto \gamma_u(1)$

Characterization of abnormal curves



$\gamma_u: [0, 1] \rightarrow M$ abnormal $\iff \lambda$ is a characteristic curve of the symplectic form restricted to Δ^\perp (Hsu 1992)

Characterization of abnormal curves

For $X \in \mathfrak{g}^{[1]}$, define the *abnormal polynomial*

$$P_X: G \rightarrow \mathbb{R}, \quad P_X(g) = \lambda(\text{Ad}_g X)$$

- γ abnormal $\iff P_X(\gamma(t)) = 0$ for all $X \in \mathfrak{g}^{[1]}$.

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Abnormal dynamics: consider the (singular) foliation tangent to $\Delta \cap T\{P_X = 0\}$.

A dynamical approach

Rank 2: for $P = P_X$

$$0 = \frac{d}{dt}P(\gamma_u(t)) = u_1(t)X_1P(\gamma_u(t)) + u_2(t)X_2P(\gamma_u(t)).$$

When $(X_1P, X_2P) \neq 0$, up to reparametrization

$$u_1(t) = -X_2P(\gamma_u(t))$$

$$u_2(t) = X_1P(\gamma_u(t))$$

\implies ODE for γ_u .

A dynamical approach

Theorem (Barilari, Chitour, Jean, Prandi, and Sigalotti 2020)

In sub-Riemannian manifolds of rank 2 and step 4, abnormal minimizers have C^1 regularity.

Theorem (Boarotto and Vittone 2020)

In Carnot groups of rank 3 step 3, or rank 2 step 4, the abnormal set is a sub-analytic set of codimension at least one.

Proof strategy:

- 1 The dynamics is linear.
- 2 Separate cases by the Jordan form of the linear part.
- 3 Study the dynamics explicitly in the normal forms.

Abnormal dynamics is complicated

Theorem (H. 2020)

Let $\dot{x} = P(x)$ be a polynomial ODE system in \mathbb{R}^r .

There exists a Carnot group of rank r such that all trajectories of the ODE lift to abnormal curves.

For $x = (x_1, \dots, x_r)$, a lift is γ_u where $u_i = \dot{x}_i$.

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Proof idea:

- 1 Every polynomial ODE has a polynomial first integral in a lift.
- 2 Curves contained in an algebraic variety are abnormal in a lift.

Construction of a first integral

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Horizontal gradients

Lemma

Every polynomial vector field $P: \mathbb{R}^r \rightarrow \mathbb{R}^r$ is the horizontal gradient of some polynomial in a Carnot group of high enough step.

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For the frame X_1, \dots, X_r the horizontal gradient of $Q: G \rightarrow \mathbb{R}$ is

$$\nabla_{\text{hor}} Q = \sum (X_i Q) X_i: G \rightarrow TG.$$

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In coordinates, lift $P: \mathbb{R}^r \rightarrow \mathbb{R}^r$ to the horizontal vector field

$$P: G \rightarrow TG, \quad P(x_1, \dots, x_r, \dots, x_n) = \sum_{i=1}^r P_i(x_1, \dots, x_r) X_i(x)$$

Gradients in \mathbb{R}^r

$$P = (P_1, \dots, P_r) = \nabla Q \text{ for some } Q: \mathbb{R}^r \rightarrow \mathbb{R} \iff \partial_i P_j = \partial_j P_i$$

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Recursion for Q :

$$Q_1 = \int P_1 dx_1$$

$$Q_2 = Q_1 + \int (P_2 - \partial_2 Q_1) dx_2$$

$$\vdots$$

$$Q = Q_r = Q_{r-1} + \int (P_r - \partial_r Q_{r-1}) dx_r$$

A non-gradient vector field in \mathbb{R}^r

$P(x) = (x_1 - x_2, x_1 + x_2) \neq \nabla Q$ for any $Q: \mathbb{R}^2 \rightarrow \mathbb{R}$.

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Lift to a horizontal vector field in the Heisenberg group.

$$X_1(x) = \partial_1$$

$$X_2(x) = \partial_2 + x_1 \partial_3$$

$$X_3(x) = [X_1, X_2](x) = \partial_3$$

$$P: H \rightarrow TH, \quad P(x) = (x_1 - x_2)X_1(x) + (x_1 + x_2)X_2(x)$$

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$$X_2(x) = \partial_2 + x_1 \partial_3$$

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$$P: H \rightarrow TH, \quad P(x) = (x_1 - x_2)X_1(x) + (x_1 + x_2)X_2(x)$$

Then $P = \nabla_{\text{hor}} Q$ for the polynomial

$$Q(x) = \frac{1}{2}x_1^2 - x_1x_2 + \frac{1}{2}x_2^2 + 2x_3$$

Recursion for horizontal gradient integration

$$X_1 Q = x_1 - x_2$$

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Integrate backwards:

$$Q_3 = \int X_3 Q dx_3$$

$$Q_2 = Q_3 + \int (X_2 Q - X_2 Q_3) dx_2$$

$$\begin{aligned} Q = Q_1 &= Q_2 + \int (X_1 Q - X_1 Q_2) dx_1 \\ &= \frac{1}{2}x_1^2 - x_1x_2 + \frac{1}{2}x_2^2 + 2x_3 \end{aligned}$$

Recursion for horizontal gradient integration

Why it works:

- As *weighted* differential operators, $[X_1, X_2]$ is a degree 2 operator, $[X_1, [X_1, X_2]]$ is degree 3, etc.
 \implies partial derivatives of a polynomial eventually vanish
- There exist coordinates such that $X_i = \partial_i + \sum_{j>i} c_{ij} \partial_j$.
 \implies integration variable by variable is possible

A horizontal first integral

For an ODE

$$\dot{x}_i = P_i(x), \quad x \in \mathbb{R}^r, \quad i = 1, \dots, n$$

integrate any nonzero orthogonal vector field.

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Then for a trajectory $x: [0, 1] \rightarrow G$ of $\dot{x} = \sum P_i(x)X_i(x)$

$$\frac{d}{dt} Q(x) = P_1(x)X_1 Q(x) + \dots + P_r(x)X_r Q(x) = 0.$$

Abnormal factors

Theorem (H. 2020)

*Let $\dot{x} = P(x)$ be a polynomial ODE system in \mathbb{R}^r .
There exists a Carnot group of rank r such that all trajectories of the ODE lift to abnormal curves.*

Proof idea:

- 1 Every polynomial ODE has a polynomial first integral in a lift.
- 2 Curves contained in an algebraic variety are abnormal in a lift.

Higher order abnormality

$$\mathfrak{g} = \mathfrak{g}^{[1]} \oplus \mathfrak{g}^{[2]} \oplus \dots \oplus \mathfrak{g}^{[s]}, \quad [\mathfrak{g}^{[1]}, \mathfrak{g}^{[i]}] = \mathfrak{g}^{[i+1]}.$$

Definition

$$\gamma: [0, 1] \rightarrow G \text{ abnormal} \iff \lambda(\text{Ad}_{\gamma(t)} \mathfrak{g}^{[1]}) = 0$$

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Lemma

If $\gamma(0) = e$ and $\lambda(\text{Ad}_{\gamma(t)} \mathfrak{g}^{[k]}) = 0$, then γ is abnormal of order k .

Abnormal factors

Proposition

For any polynomial $Q: H \rightarrow \mathbb{R}$, there exists

- *a Carnot group G with a projection $\pi: G \rightarrow H$*
- *$\lambda \in \mathfrak{g}^*$*
- *$k \in \mathbb{N}$*

such that $Q \circ \pi: G \rightarrow \mathbb{R}$ is a factor of the polynomial $x \mapsto \lambda(\text{Ad}_x Y)$ for every $Y \in \mathfrak{g}^{[k]}$.

Abnormal factors proof

Consider a linear system

$$P_i^\lambda = Q \cdot S_i^\nu, \quad i = 1, \dots, m$$

in the variables (λ, ν)

Abnormal factors proof

Consider a linear system

$$P_i^\lambda = Q \cdot S_i^\nu, \quad i = 1, \dots, m$$

in the variables (λ, ν) , where

- $P_i^\lambda(x) = \lambda(\text{Ad}_x Y_i)$ for a basis Y_1, \dots, Y_m of $\mathfrak{g}^{[k]}$
- S_i^ν are generic polynomials of the form

$$S^\nu = \nu_0 + \nu_1 x_1 + \nu_2 x_2 + \nu_3 x_3 + \nu_4 x_1^2 + \nu_5 x_1 x_2 + \nu_6 x_2^2 + \dots$$

such that $\deg(S_i^\nu) + \deg(Q) = \deg(P_i)$.

Abnormal factors proof

Let

- $k = \deg Q + 1$
- G_s a free Carnot group of step s

Lemma

The linear system

$$P_i^\lambda = Q \cdot S_i^\nu, \quad i = 1, \dots, m$$

has a non-trivial solution (λ, ν) in G_s for large s .

Monomial counting

Proof of Lemma:

- ① Hall basis argument $\implies \exists \lambda = \lambda(\nu)$ such that $P_1^{\lambda(\nu)} = Q \cdot S_1^\nu$
Consider the remaining system

$$P_i^{\lambda(\nu)} = Q \cdot S_i^\nu, \quad i = 2, \dots, m$$

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- ② In step s , $\deg(P_i^\lambda) \leq s - k$. The number of equations is

$$(m - 1) \cdot \#\{\text{monomials of degree up to } s - k\}$$

and the number of variables is

$$m \cdot \#\{\text{monomials of degree up to } s - k - \deg(Q)\}$$

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- ③ Poincaré series asymptotics for $s \rightarrow \infty$
 $\implies \#\text{variables} \gg \#\text{equations}.$

The entire proof

Theorem (H. 2020)

Let $\dot{x} = P(x)$ be a polynomial ODE system in \mathbb{R}^r .

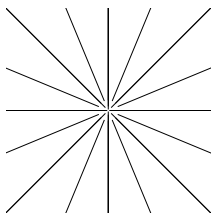
There exists a Carnot group of rank r such that all trajectories of the ODE lift to abnormal curves.

Proof:

- 1 Every polynomial ODE has a polynomial first integral in a lift.
 - Consider an orthogonal vector field.
 - Every polynomial vector field is a horizontal gradient.
- 2 Curves contained in an algebraic variety are abnormal in a lift.
 - Common factors of abnormal polynomials = linear system.
 - Monomial counting \implies the system is underdetermined.

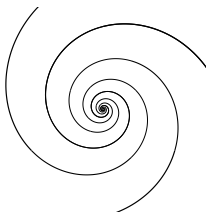
Abnormals from linear ODEs

Abnormals in the free Carnot group of rank 2 and step 7



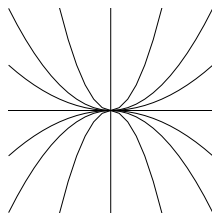
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$$\dot{y} = y$$



$$\dot{x} = -\frac{1}{4}x - y$$

$$\dot{y} = x - \frac{1}{4}y$$

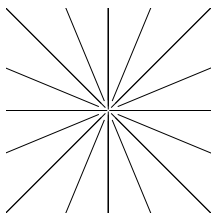


$$\dot{x} = x$$

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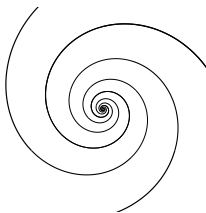
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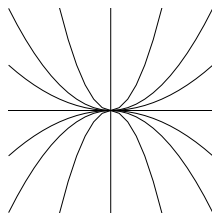
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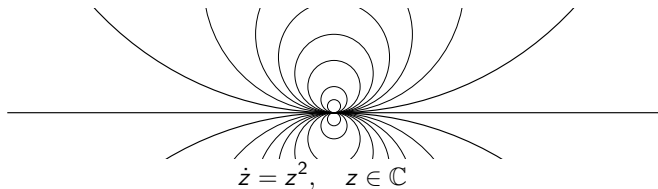
$\exists \lambda: \mathbb{R}^6 \rightarrow \mathfrak{g}^*$ semi-algebraic such that trajectories of

$$\dot{x} = ax + by + c \quad \dot{y} = dx + ey + f$$

are abnormal with covector $\lambda(a, b, c, d, e, f)$.

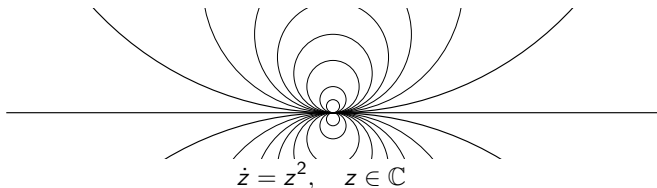
Abnormals from quadratic ODEs

Abnormals in the free Carnot group of rank 2 and step 13



Abnormals from quadratic ODEs

Abnormals in the free Carnot group of rank 2 and step 13



Let $E \subset [0, 1]$ be nowhere dense. \exists abnormal curve that is

- injective
- parametrized by arc length on $[0, 1] \setminus E$
- not C^2 at any point $x \in E$
- if E is perfect, not C^1 at any point $x \in E$

Thank you for your attention!